

# Bone morphogenetic proteins: From discovery to development of a novel autologous bone graft substitute consisting of recombinant human BMP6 delivered in autologous blood coagulum carrier

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## ABSTRACT:

Bone Morphogenetic Proteins (BMPs) are growth and differentiation factors within the TGF $\beta$  superfamily of proteins. They induce ectopic and orthotopic endochondral bone formation and are involved in the regulation of cell proliferation, differentiation, apoptosis and mesenchymal-epithelial interactions in critical morphogenetic processes of tissues beyond bone. BMP2 and BMP7 osteogenic devices have been approved for enhancing healing in patients with long bone defects and anterior spinal fusion procedures. However, due to a high price and various serious adverse events including heterotopic ossification, retrograde ejaculation and pain their clinical use have been limited. In this review we discuss the BMP discovery, biology and their use in clinical studies with particular reference to the newly developed BMP6 based autologous bone graft substitute (ABGS). A novel ABGS consisting of an autologous bone coagulum (ABC) carrier with dispersed BMP6 to initiate the differentiation of mesenchymal cells into endochondral bone. The ABC met the conditions for an optimal delivery system for BMP6 due to handling simplicity, without an immunogenic and inflammatory response at the implantation site. Addition of allograft or synthetic ceramics to ABGS demonstrated in animal models significantly increased volume

and better microarchitecture of the newly formed bone. The first clinical study was conducted in patients with distal radial fractures (Phase I study) and the second in patients undergoing high tibial osteotomy (Phase I/II study) and no serious adverse events have been observed. Finally, in the ongoing OSTEOproSPINE study ABGS enforced with allograft bone is evaluated in patients with chronic back pain due to degenerative disc diseases. The novel ABGS bone mimetic is a major breakthrough and contribution to bone biology and regenerative medicine of skeletal repair.

**KEYWORDS:** BMP6, TGF $\beta$  superfamily, endochondral bone formation, bone mimetic, distal radial fracture, high tibial osteotomy, posterolateral lumbar spine fusion, allograft, chronic lumbar back pain, regenerative medicine

### SAŽETAK:

**KOŠTANI MORFOGENETSKI PROTEINI (BMP): OD OTKRIĆA DO RAZVOJA NOVE AUTOLOGNE KOŠTANE NAPRAVE KOJA SE SASTOJI OD REKOMBINANTNOG HUMANOG BMP6 U AUTOLOGNOM KRVNOM UGRUŠKU KAO NOSAČU**

Koštani morfo-genetski proteini (BMP) čine grupu čimbenika rasta i diferencijacije unutar TGF $\beta$  nadobitelji. Oni induciraju stvaranje ektopične i ortotopične endohondralne kosti te su uključeni u regulaciju stanične proliferacije, diferencijacije, apoptoze i mezenhimalno-epitelne interakcije u važnim tkivnim morfo-genetskim procesima izvan koštanog sustava. Koštane naprave koje sadrže BMP2 i BMP7 protein odobrene su za poboljšanje koštanog cijeljenja kod pacijenata s defektima dugih cjevastih kostiju i kod prednje spinalne fuzije kralježnice. Međutim, zbog visoke cijene i mnogobrojnih nuspojava koje su uključivale pojavu heterotopičnih osifikacija, retrogradnu ejakulaciju i bol, njihova je klinička primjena ograničena. U ovom smo preglednom radu raspravili otkriće BMP molekula, njihovu biologiju i primjenu u kliničkim studijama s posebnim osvrtom na nedavno otkrivenu novu autolognu koštanu napravu (ABGS) koja sadrži BMP6. Novi ABGS sastoji se od nosača autolognog koaguluma (ABC) s otopljenim BMP6 koji je ključan za pokretanje diferencijacije mezenhimalnih stanica u smjeru stvaranja endohondralne kosti. ABC je ispunio sve potrebne uvjete za formulaciju optimalnog nosača za BMP6 isključivo zbog jednostavnosti pripreme i primjene te odsustva imunogenog i upalnog odgovora na mjestu implantacije. Uz dodatak alografta ili sintetičke keramike što je potvrđeno na životinjskim modelima došlo je do značajnog povećanja volumena te poboljšanja mikroarhitekture novonastale kosti. Prvo kliničko ispitivanje provedeno je na pacijentima s distalnim prijelomima radijusa (faza I studije), a drugo na pacijentima koji su podvrgnuti visokoj osteotomiji tibije (faza I/II studije) bez uočenih ozbiljnih nuspojava. Trenutno je u tijeku studija OSTEOproSPINE u kojoj se testira učinkovitost ABGS u kombinaciji s koštanim alograftom u bolesnika s kroničnim bolovima u leđima uzrokovanim degenerativnim promjenama intervertebralnog diska. Nova ABGS koštana naprava značajna je prekretnica i napredak u području koštane biologije te regenerativne medicine koštanog sustava.

**KLJUČNE RIJEČI:** BMP6, TGF $\beta$  nadobitelj, endohondralno stvaranje kosti, koštani mimetik, distalni prijelom radijusa, visoka osteotomija tibije, posterolateralna spinalna fuzija, alograft, kronična slabinska bol, regenerativna medicina

### INTRODUCTION

The treatment of broken fractures alone uses almost half of the health care budget in the US and EU <sup>1</sup>. In addition, more than 3 million bone grafting surgeries are performed annually <sup>2</sup>. Out of 6 million fractures in the EU each year, 5-25% result in impaired healing <sup>3</sup>. These numbers are increasing and might reach 12 million bone fractures by 2050 in EU alone.

Bone morphogenetic proteins (BMPs) induce endochondral bone formation at ectopic and orthotopic sites and since the discovery they represent the most important biological support in

bone injuries treatment <sup>4-7</sup>. However, currently used BMP-based bone devices demonstrate numerous safety issues limiting their broader use <sup>8-10</sup>.

An autologous bone graft substitute (ABGS) composed of recombinant human BMP6 (rhBMP6) in autologous blood coagulum (ABC) was developed to support new bone formation and promote bone healing in various orthopedic and trauma indications. Thus, the animal-derived collagen has been replaced with ABC which reduces the inflammatory response and serves as a physiological carrier for rhBMP6 adjustable to the desired

shape<sup>11,12</sup>. Based on the results from the preclinical studies, clinical studies/trials in patients with a distal radial fracture, high tibial osteotomy and posterolateral spinal fusion were approved<sup>13-15</sup>. This review covers BMP discovery, biology and their use in clinical studies with particular reference to new BMP6 based ABGS.

### DISCOVERY OF BONE MORPHOGENETIC PROTEINS

Urist discovered that demineralized bone matrix (DBM) is capable of inducing new bone when implanted ectopically in the skeletal muscle<sup>16,17</sup>. He described this phenomenon as “bone formation by autoinduction” which was the first proof that acellular DBM has a bone morphogenetic activity that is capable of inducing new bone at ectopic sites.

The progress in identifying BMPs from the DBM has been slow due to the difficulty in isolating proteins from the insoluble bone matrix without a defined bioassay for *in vivo* inducing bone formation. However, it was then found that proteins inducing bone could be solubilized from DBM by dissociative extraction using 4 M guanidium chloride or 8 M urea. The extracted proteins were then reconstituted with a residual collagenous matrix and implanted under the skin in a rat axillary region<sup>18</sup> (Fig. 1). In this dissociative extraction and reconstitution procedure, the solubilized extract provided the signals on collagenous scaffold to recruit the mesenchymal stem cells to undergo proliferation and differentiation into new/novel bone at an ectopic site<sup>19</sup> (Fig. 1). This was the first reproducible bioassay for testing BMPs which helped to discover that the bone forming activity was homologous across the mammals<sup>20</sup>. The isolation, characterization and identification of several BMPs from bone matrix extract became therefore possible. The amino acid sequences obtained from bone inductive protein fractions were isolated from bovine bone<sup>21</sup>. Several genes encoding BMP1, -2, -3 and -4 were identified by molecular cloning. BMP2, -3 and -4 were classified as TGF $\beta$  superfamily protein members, while BMP1 was classified as a mammalian tolloid proteinase active in the processing of extracellular matrix proteins, like collagens and certain members of the TGF $\beta$  family, including TGF $\beta$ , GDF8 and GDF11<sup>22-28</sup>. After amino acid sequences conformation of highly purified bovine osteogenic proteins from DBM, an association to *Drosophila* DPP and *Xenopus* Vg-1 was established. A consensus gene construct was used to obtain the OP-1 (BMP7) gene for the first time<sup>29-31</sup>. In addition, several BMP genes were cloned<sup>32</sup> from human cDNA and genomic libraries using oligonucleotide probes whose constructions were derived from known BMP gene sequences and named Growth and Differentiation Factors (GDFs). A set of morphogenetic proteins was identified, including cartilage-derived morphogenetic proteins, CDMPs (also known as CDMP1/GDF-5/BMP11; CDMP-2/GDF-6/BMP12; CDMP-3/GDF-7/BMP13) expressed predominantly in cartilage and the prostate-derived growth factor (PDF/GDF15/MIC-1) originally detected in the prostate tissue<sup>33,34</sup>.

### BMP FUNCTION

Almost all of the BMP molecules function through binding to a specific Ser/Thr kinase receptor heterodimeric complex composed of one type I receptor and one type II receptor<sup>35-40</sup>. The binding of a BMP to its receptor may be facilitated by extracellular matrix proteins like type IV collagen and heparan sulfate proteoglycan, including co-receptors like Endoglin and Hemojuvelin<sup>41,42</sup>. The ligand-receptor complex then induces the phosphorylation of intracellular SMAD1/5/8 proteins to mediate downstream signaling. The binding of BMP to its receptor complex is tightly controlled in the specific microenvironment via endogenous antagonists, like Noggin<sup>43,44</sup>. BMPs form bone at ectopic and bony sites, but are also expressed in tissues other than bone<sup>6,45-48</sup>. They serve as inductive signals in tissue organogenesis, like cardiac tissue<sup>49</sup>, lung development<sup>50</sup> and kidney morphogenesis<sup>51,52</sup>. The loss of BMP6 causes hemochromatosis<sup>53</sup> and gain of BMP6 function results in anemia through disturbance of the iron-hemojuvelin-hepcidin loop<sup>53-56</sup>. The GDF8 loss results in enhanced skeletal myogenesis with high metabolic activity exhibiting a lean phenotype<sup>57</sup>, whereas GDF-11 has a positive role in ageing<sup>58</sup>.

### ROLE OF BMPs IN EMBRYOGENESIS AND DEVELOPMENT

Noggin, Gremlins, Sclerostin and Chordin as BMP antagonists are important for the mesoderm development and endochondral bone formation<sup>7,42,59</sup>. BMPs and BMP antagonists are simultaneously expressed by cells to form a concentration gradient<sup>48,60</sup>, depending on the anatomical location of bones in the skeleton<sup>61</sup>. To govern the skeletal morphogenesis, BMP-signaling collaborates with activin and TGF- $\beta$ , as well as Wnt and Hedgehog signaling<sup>60,62,63</sup>. The identification of BMPs, their receptors and antagonists<sup>17,18,21,31,33,34,38,64-66</sup> led to important studies on BMP use in clinical indications<sup>67-76</sup>. Individual BMPs were analyzed in genetically modified mice mutants and via standard gene targeting studies, as well as overexpression of BMPs, Bone Morphogenetic Protein Receptors (BMPRs) and their signaling molecules, Smads. BMPs collectively play an important part in the skeleton development, as well as the development of the nervous system, liver, kidney, heart, pancreas, eye and germ cells<sup>4,45,46,51,77-86</sup>.

### COMMERCIAL BMP-BASED BONE IMPLANTS

BMPs alone cannot promote formation of bone, unless administered together with an appropriate scaffold and osteoprogenitor cells in a permissive micro environment<sup>66,87-91</sup>. So far animal-derived collagens were used as a carrier for BMP2 and BMP7 in clinically approved bone devices, while bovine collagen, cellulose and synthetic ceramics were studied in animals<sup>92-94</sup>. rhBMP2 with bovine collagen was applied in mandibular bone surgery<sup>95,96</sup> and diaphyseal fractures<sup>97</sup>. In posterolateral lumbar fusion (PLF), rhBMP2 on a ceramic-collagen composite did not receive FDA approval since the high dose and the weak binding to collagen-ceramics composite resulted in potentially serious adverse effects<sup>98-101</sup>.

Both available BMP implants use a bovine collagen as a scaffold and contain BMP2 (Infuse Bone Graft, lumbar tapered fusion device) or BMP7 (Osigraft)<sup>102,103</sup>. Clinical trials led to the approval of BMP2 and BMP7 implants for the long bone acute fracture surgeries, tibial non-unions and anterior spinal fusion<sup>9,10,104-113</sup>. The use of BMP2 based Infuse Bone Graft showed safety issues which restricted its use in patients<sup>9,10</sup>. There is around 2 milligrams of BMPs in the human body. Clinicians used around 12-40 milligrams of BMP2 in patients undergoing spinal fusion surgery. However, when the surgery was performed on the cervical spine, a neck swelling was sometimes present, which led to serious life-threatening complications<sup>9,10</sup>. Surprisingly, out of milligrams added of BMP2, only about 75 micrograms bound to 1 gram of bovine collagen<sup>114</sup>, while the rest aggregates on the collagen, becoming potentially available to migrate to distant sites to induce heterotopic ossification. Following BMP2 implant use in a posterolateral lumbar fusion indication, complications, such as a nerve injury, retrograde ejaculation and distant ossification were detected<sup>9,10,107,109,111</sup>. Although orthopedic and trauma specialists might use a BMP based product in the majority of patients with osteoporotic bone injuries, safety issues and the price are limiting elements in the routine use of the BMP2 device. These problems with the use of BMP2 and BMP7 based bone implants originate from the limited knowledge about the role of BMPs in bone induction at both ectopic site, like in PLF, or enhanced orthotopic bone formation in long bone fractures and non-unions<sup>115</sup>.

#### COMMERCIAL BMP-BASED DEVICES CAUSE BONE LOSS AND INFLAMMATION

BMP2 and BMP7 may cause bone resorption, resulting in implant displacement, subsidence and alignment loss, particularly after human spine surgeries<sup>9,10</sup>. Inflammation and swelling were initially not observed when BMP2 or BMP7 were used in patients with long bone injuries, unless administered close under the skin. In patients undergoing reconstruction surgery of the distal radius, the use of a BMP7 commercial device resulted in bone resorption and skin redness<sup>116</sup>. Similarly, in preclinical studies BMP7 used within the bone medullary canal caused accelerated bone loss<sup>117</sup>.

However, in clinical trials BMP2 and BMP7 supported bone healing with an efficacy similar to autologous bone implants. It was initially shown that BMPs on bovine collagen carrier stimulate bone formation in animals, including rats, mice, rabbits, sheep, goats, dogs, and monkeys<sup>64,82,118-122</sup>. In acute fracture clinical studies, bone loss was not demonstrated following administration of BMP2 and BMP7<sup>104,106</sup>. Initial evidence of extended bone resorption was found in patients undergoing distal radial surgery reconstructing abnormal bone curvature using BMP7<sup>116</sup>, and anterior spine approach to replace degenerative discs using BMP2<sup>9,10,105,108-113</sup>.

Similarly, the intracorporeal use of BMP7 in thoracolumbar fractures led to pronounced bone loss and segmental instability

<sup>123-126</sup>. However, the initial bone loss was not permanent and the bone formation with subsequent regeneration was observed<sup>9,10</sup>.

#### CELLULAR COMPARTMENTS INFLUENCING THE BMP-INDUCED BONE FORMATION

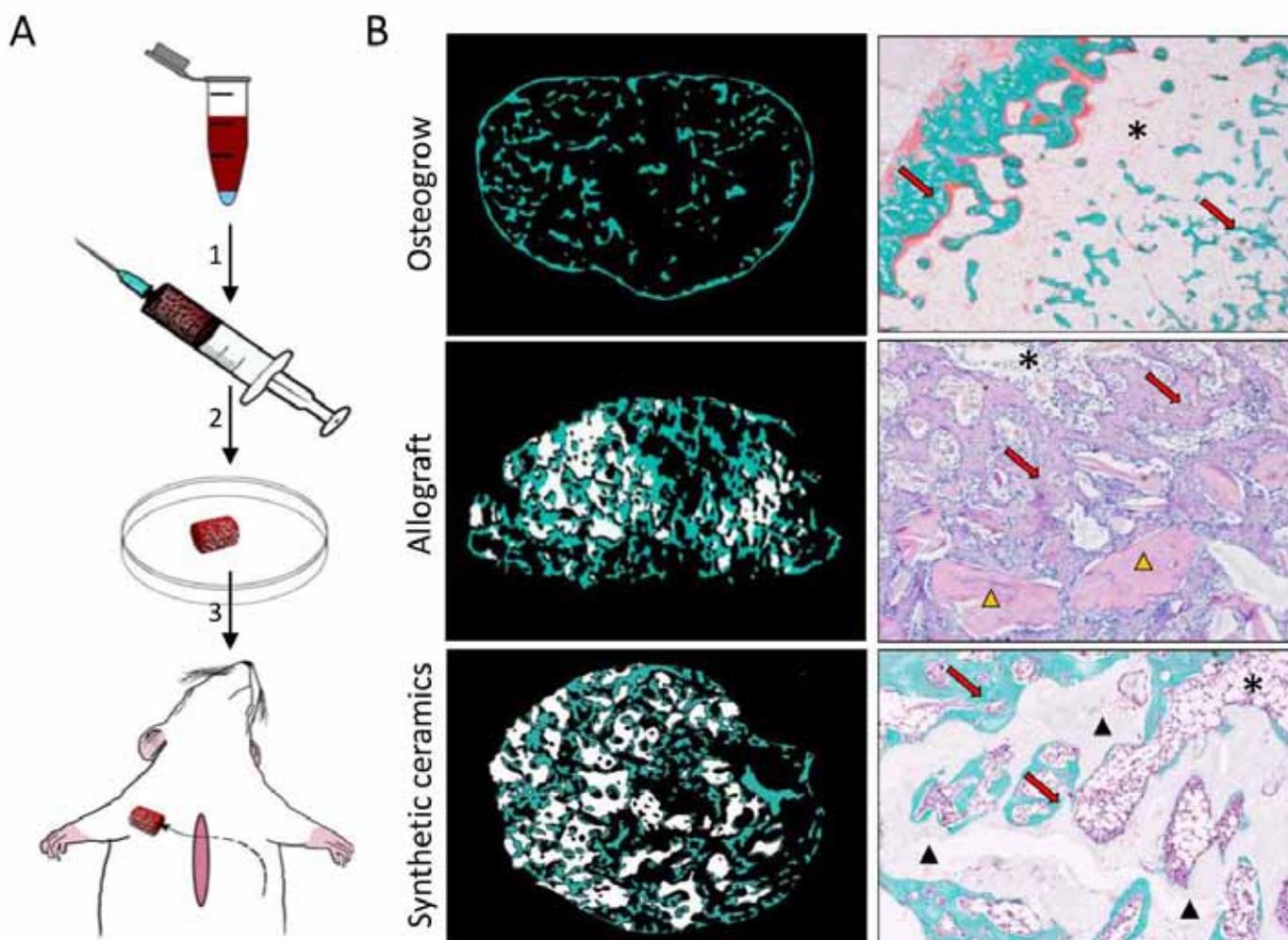
BMPs primarily stimulate differentiation of the cells comprising the periosteum cell layers and the adjacent muscles to support the fracture healing from outside of the medullary canal. BMPs can thus ossify muscles through downregulating the *Id* genes<sup>83,127-129</sup>, and subsequently turn pericytes and myoblasts into osteogenic cells (Fig. 2)<sup>130</sup>. Therefore, the bone callus originates primarily from the periosteal cells and the surrounding muscle based cells that initiate bone formation adjacent to the lateral long bone diaphyseal surfaces. The stimulation of osteoclasts inside the bone shaft is critical for resorption of bone fragments accumulated following a fracture inside the bone canal. Therefore, osteolysis happens endosteally<sup>117</sup>, new bone is made outside the bone canal and mechanically supports both bone ends via the callus constructed of the periosteal stem cells and osteoprogenitors from the adjacent tissues (Fig. 2). The outcome of the use of BMP2 and BMP7 in initial clinical studies for acute fractures and non-unions of long bones was not successful due to the lack of soft tissues around the distal tibia, potential disruption of the periosteum, and a potential presence of an infection<sup>78</sup>. The BMP2 and BMP7 amounts in available BMP devices represent a biological overdose of both proteins, resulting in increased bioavailability distantly and leading potentially to unexpected immunological reactions, antibody formation, ectopic bone appearance, etc.<sup>9,10,115,131,132</sup>.

#### AUTOLOGOUS BONE GRAFT SUBSTITUTE: A NEW BONE GRAFT MIMETIC CONTAINING RHBMP6 AND A PATIENT'S OWN BLOOD COAGULUM AS A NATURAL BMP CARRIER

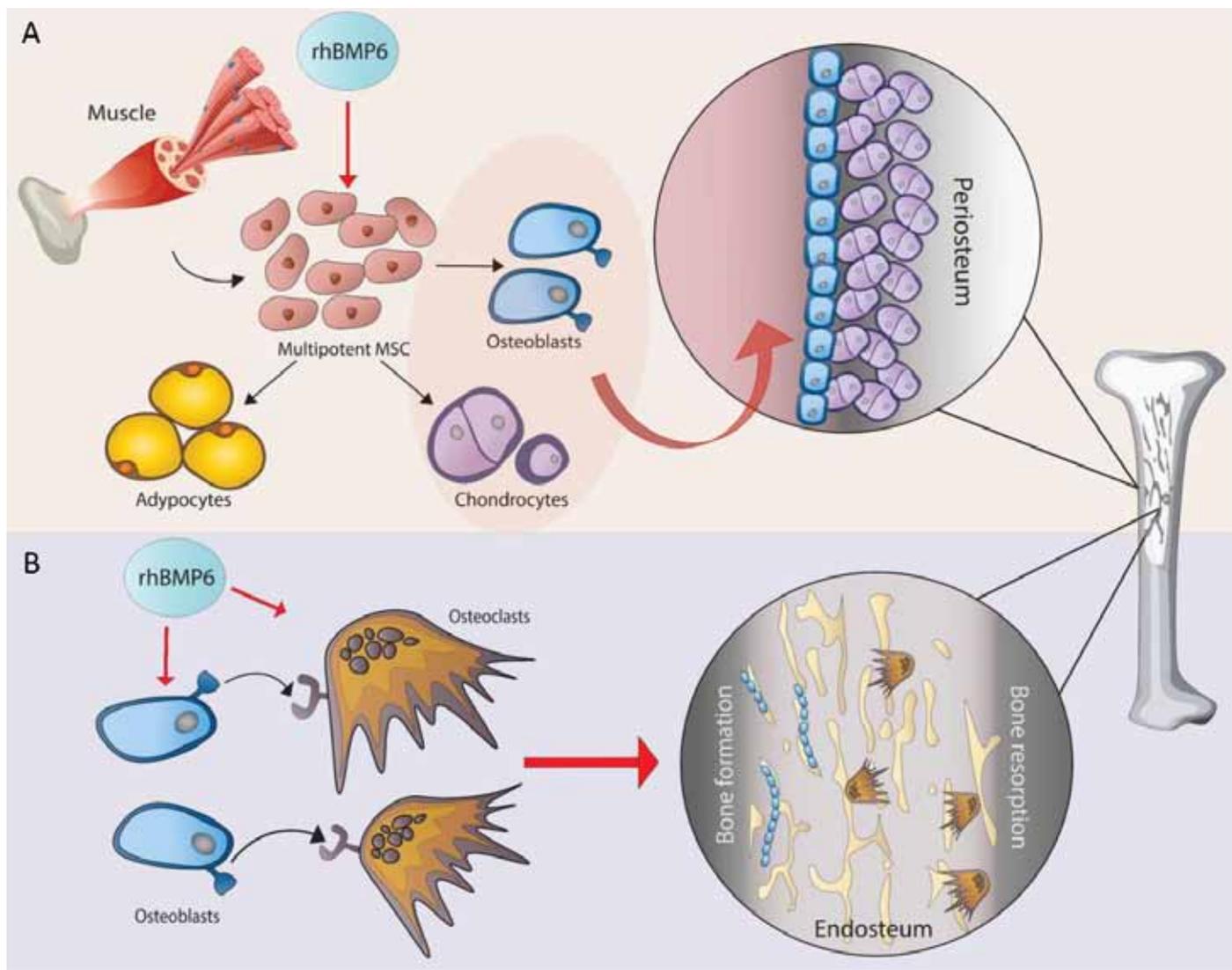
The BMPs initiates a biological response depending on the surrounding cells and the extracellular matrix present at the site of delivery. In injured bone osteoprogenitor cells come from various tissue compartments comprising the periosteum, bone marrow, vasculature and the adjacent musculature. All these cell populations contribute to bone healing, but how they respond to various BMPs is yet to be explored (Fig. 3)<sup>79,80,104,133,134</sup>.

Enhanced osteogenesis without bone resorption at the site of implantation of osteogenic device is critical to advance use of BMPs in acceleration of bone healing with pronounced bone formation. Periosteal bone formation in the vicinity of bone and at ectopic site in an osteoclast free environment is a prerequisite for the full BMP action on bone repair or bone induction in an ectopic soft tissue environment, like PLF in spine surgery (Fig. 2).

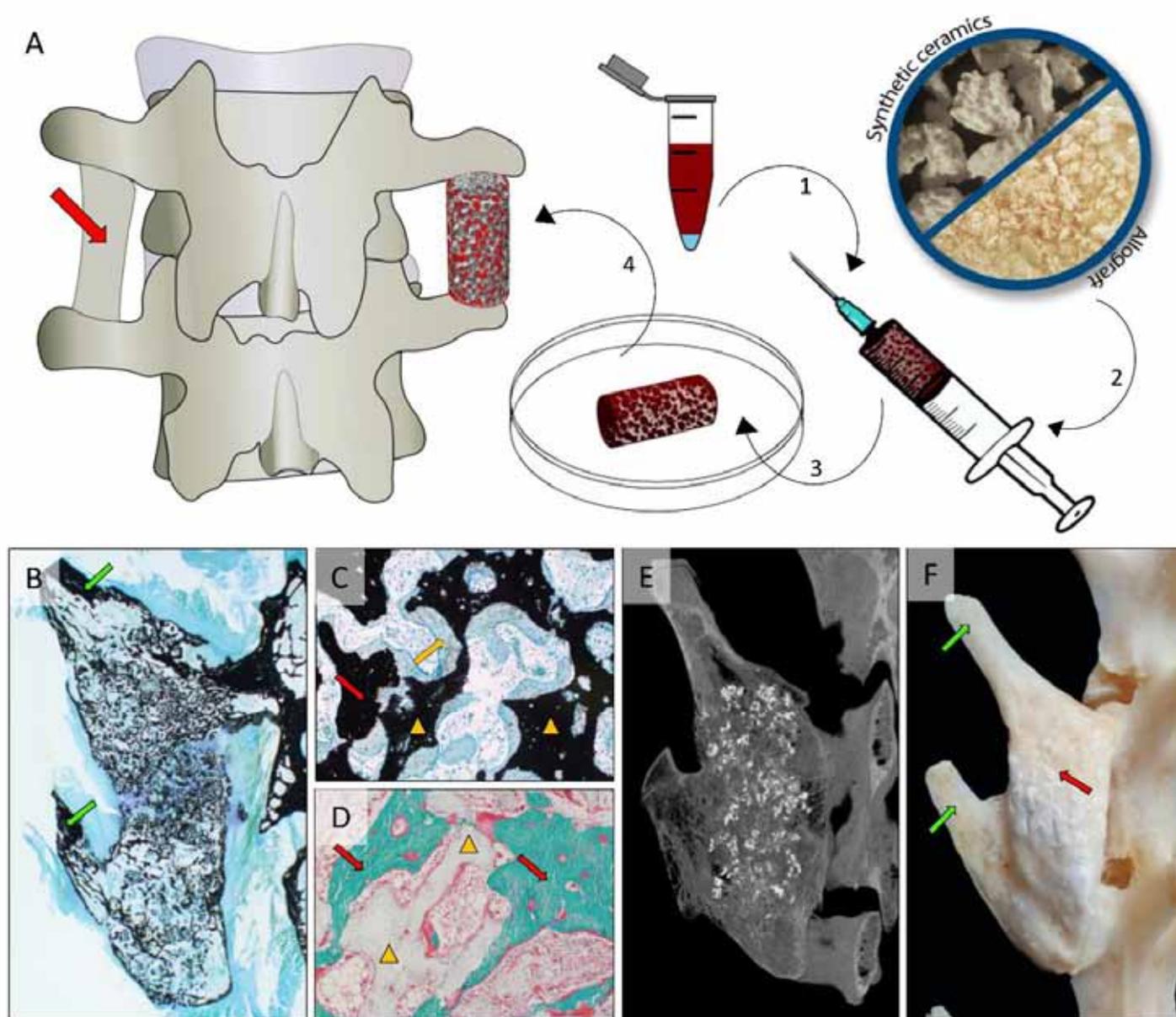
The novel ABGS osteogenic device accelerates bone repair and contains compatible blood coagulum carrier, thus limiting inflammatory reaction contrary to other BMP based bone devices. BMP6 is used in a small dose with the ABC carrier and enhanced bone formation in preclinical studies<sup>14,135-138</sup> (Figs. 1, 3, and 4).



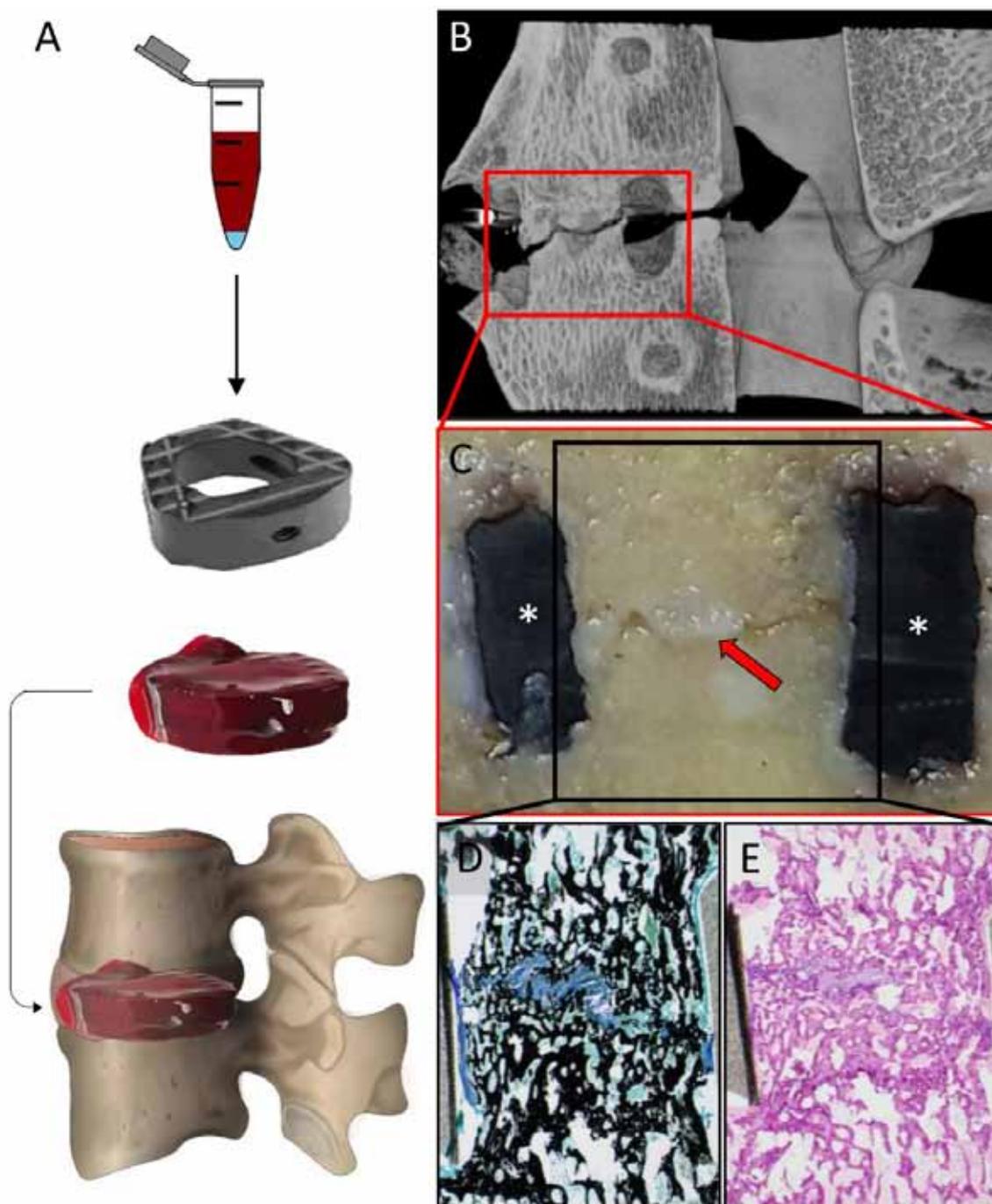
**Figure 1.** Histological and  $\mu$ CT analysis of new bone formation using ABGS and different compression resistant matrices. (A) Implant preparation scheme; 1 - blood was drawn in a volume of 500  $\mu$ L per implant and was transferred to the tube with an aliquoted solution of rhBMP6 and gently mixed; blood with rhBMP6 was drawn into the syringe containing CRM; 2 - final product (ABGS containing CRM) left on room temperature to coagulate; 3 - subcutaneous implantation into the axillary region in rats. (B) Left column - reconstruction images of CT sections where green indicates newly formed bone and white areas indicates CRM - allograft (middle row) or synthetic ceramics (lower row). Right column - histology sections (Goldner trichrome and hematoxylin-eosin staining); red arrow - newly formed bone, black asterisk - bone marrow, yellow triangle - allograft, black triangle - synthetic ceramics. (Modified from Stokovic N, et al. *Bone* 141:115654, 2020)



**Figure 2.** *In vivo* effect of rhBMP6. (A) Uncoupled bone formation; at the periosteum, rhBMP6 stimulates differentiation of multipotent mesenchymal stem cells (MSC) into osteoblasts, chondrocytes, and adipocytes; in surrounding muscle cells (myoblasts, pericytes, and vascular satellite cells) rhBMP6 upregulates *Id* genes and form new osteoblasts and prechondrocytes to form cartilage and new bone around the cortical surface from which the new bone spreads into the medullary cavity. (B) Coupled bone formation; at the endosteal surface rhBMP6 affects osteoclasts and osteoblasts stimulating both bone formation and resorption.



**Figure 3.** Assessment of the effectiveness of the compression resistant matrix (CRM) in the new bone formation. (A) Implant preparation scheme; 1 - rhBMP6 solution was mixed with blood; 2 – blood with rhBMP6 was drawn into the syringe containing CRM; 3 - the implant was left at room temperature to coagulate; 4 - implantation between two lumbar transverse processes; a red arrow indicates a fusion of lumbar transverse processes. (B) Histological section (Von Kossa staining) of newly formed bone between two transverse processes (green arrows). (C) Histological presentation of newly formed bone integrated with ceramic particles (Von Kossa staining); the yellow triangle indicates ceramic particles, the red arrow indicates newly formed mineralized bone, while the yellow arrow indicates unmineralized bone. (D) Newly formed bone integrated with ceramic particles (Goldner Trichrome staining); the yellow triangle indicates ceramic particles while the bone is turquoise (red arrows). (E)  $\mu$ CT section through newly formed bone between two transverse processes. (F) Macerated specimen of the lumbar spine showing fusion (red arrow) between two transverse processes (green arrows). (Modified from Sampath TK, Vukicevic S. *Bone* 115602, 2020; Stokovic N, et al. *Bone* 140:115544, 2020; and Vukicevic S, et al. *J Tissue Eng Reg Med* 14:147-159, 2020)



**Figure 4.** Testing of novel ABGS in anterior lumbar interbody fusion in sheep. (A) Implant preparation scheme; 1.5 mL of blood was drawn from the sheep jugular vein and mixed with rhBMP6 solution. The cage was immersed into the blood/rhBMP6 mixture and left at room temperature to coagulate for 60 minutes after it was implanted in between body of L4-L5 vertebrae. (B) 3D reconstruction of two fused vertebrae. (C) Macroscopic image of two fused vertebrae where red arrow indicates the fusion line and white asterisks indicate the cage. (D) Histology section stained by Von Kossa and (E) hematoxylin-eosin. (Modified from Grgurevic L, et al. *Bone* 138: 115448, 2020)

### Uniqueness of BMP6

We have designed and developed a novel bone osteogenic device based on BMP6 delivered in an autologous bone coagulum as a natural carrier and tested its safety and efficacy in a rat subcutaneous assay (Fig. 1), in rabbit PLF (Fig. 3), and in sheep anterior interbody fusion (ALIF) (Fig. 4) and PLF models.

BMP6 is specific in directing stem cells to cartilage and bone osteoprogenitors<sup>85,139-141</sup>. BMP6 differs from BMP7 structure in the H3 pre-helix loop region (residues 65–73)<sup>142</sup>. BMP6 and BMP7 also seem to use different BMP receptor type I, resulting in BMP6 expressing a 20-fold increased affinity to BMPR-IA, in comparison to BMP7. BMP6 shares, however, several binding and signaling receptor specificities with BMP7<sup>69,143</sup>. A resistance of BMP6 to Noggin explains why much larger amounts of BMP7 are required in the BMP7-containing bone device for successful bone regeneration in primates and patients<sup>6,43,139</sup>. Importantly, the mice lacking *Bmp6*<sup>-/-</sup> gene are viable and fertile<sup>144</sup>, while mice lacking *Bmp7*<sup>-/-</sup> gene are eyeless and die of uremia due to failed development of kidney mesenchyme<sup>145,146</sup>. This might suggest that potential development and presence of an anti BMP6 antibody following use of ABGS might result in less harm than an anti BMP7 antibody following use of Ossigraft in patients.

BMP6 effectively induces differentiation of mesenchymal stem cells (MSCs) towards osteoprogenitor cells in the *in vitro* bone formation, compared to BMP2 and BMP7 in bone marrow cells<sup>88,147</sup>. In parallel, BMP6 decreases the number of hematopoietic stem cells (HSC) derived osteoclasts, while an opposite effect of BMP2 and BMP7 has been observed<sup>140</sup>. Using liquid chromatography-mass spectrometry (LC-MS) and Western blotting<sup>148</sup> we found that BMP6 was circulating in the blood of healthy mice and humans<sup>23</sup>. In addition, osteoporotic rats treated with BMP6 had an increased bone volume, suggesting that BMP6 is systemically influencing bone homeostasis<sup>149</sup>. The genomic experiments revealed that treatment with BMP6 resulted in an enrichment of IGF1 and EGF related pathways, which was confirmed on primary human osteoblasts, indicating that the effect of BMP6 is at least partially dependent of IGF1 and EGF pathway<sup>140</sup>. Alteration of the plasma membrane heparan-sulfate (HS) structure inhibited BMP6-mediated signaling in C<sub>2</sub>C<sub>12</sub> premyoblast mouse cell line, preventing differentiation into osteoblasts. Endogenous HS thus has an important role in the BMP6 biology. Exogenous heparin did not recover BMP6 signaling in HS-altered C<sub>2</sub>C<sub>12</sub> cells, suggesting that cell membrane HS is needed for the appropriate BMP6 signaling in normal<sup>54,150</sup> and in pathological conditions<sup>151</sup>.

BMP6 bone repair in *Bmp6*<sup>-/-</sup> mice increased the differentiation of bone marrow accumulated stem cells towards chondrocytes and alkaline phosphatase positive bone forming cells<sup>12,24,43,85,139</sup>. These specific structural and functional characteristics of BMP6 supported its use in the novel ABGS device.

### BMP6 quantity needed for treatment of mice lacking *Bmp6* gene

Pre-clinical studies showed that BMP6 can be used in a much lower quantity than BMP2 and BMP7. We demonstrated that 50 µg BMP6 in the ABGS implant is more effective than 3.5 mg of BMP7 in the Ossigraft in studies on rabbit ulna segmental bone defect<sup>131</sup>. When BMP2, BMP6 and BMP7 were used in similar amounts in a biocompatible ABGS in rabbits with a segmental bone defect, BMP6 showed the best healing properties without evident osteolysis. In rat, fibrosis and an inflammatory response were not present at a subcutaneous site<sup>131,132</sup> (Fig. 1) due to the higher BMP6 potency associated to a lesser sensitivity to Noggin<sup>43</sup>. BMP6 dissociates from Noggin, following binding of the BMP-Noggin complex to the cell surface receptors type I<sup>43</sup>. BMP2, BMP7 and BMP6 have different capacity for binding to Noggin that is based on structural differences. In BMP6, at position 60 of the mature domain is a lysine aminoacid, essential for reversible binding to Noggin. Furthermore, if the proline at position 60 in BMP2 and BMP7 is replaced with lysine, the osteogenic activity of both BMPs significantly increased<sup>43</sup>. This might also prolong the BMP6 half-life time activity resulting in an enhanced osteoblast differentiation beneficial for the fracture healing<sup>152</sup>.

### Autologous blood coagulum as a BMP6 physiological carrier

ABC from the patient's own blood is a novel autologous carrier for BMPs<sup>138</sup>. ABGS is non-immunogenic, non-inflammatory and without bovine collagen, opposite to commercially available devices. It is a flexible, compact, malleable, cohesive, and easy to inject material, not disassembling into parts for at least 7 days after implantation<sup>115,131</sup>. In preclinical animal models of bone regeneration ABGS successfully bridged the segmental bone defects<sup>12,115,131</sup>. At low dose ABGS induces new bone when implanted under the skin (Fig. 1), promotes diaphyseal segmental defects healing and induces PLF in rabbits<sup>131,132</sup> (Fig. 3) and sheep<sup>135</sup> (Fig. 4). In the absence of rhBMP6, ABC implantation resulted in absence of new bone and instead formed a fibrous tissue which dissolved over time<sup>131</sup>.

BMP6 was selected for ABGS because it reversibly binds to Noggin<sup>43</sup> and thus helps to lower the BMP dose. For signaling BMP6 uses ALK2, ALK3 and ALK6 BMP type I receptors and it increases the expression of bone differentiation markers in cell cultures<sup>131,140</sup>. ABC also decreases inflammation and foreign body cell accumulation when combined with allograft or calcium phosphate ceramics in PLF animal studies<sup>132,135,136,153</sup>. BMP6 in blood remains active for approximately 7 days and could not be detected in the remaining serum after the formation of the autologous blood coagulum<sup>12,115,131</sup>. BMP6 is bound to the ABGS extracellular matrix as well as cell membranes included in the ABGS<sup>12,131</sup>. These findings served as a basis for formulating ABGS.

*Compression resistant matrix*

To improve biomechanical properties of ABGS, compression resistant matrix (CRM) might be added to ABGS implants in selected indications which require larger implants including PLF and segmental defects of long bones<sup>132</sup>. Allograft was the first CRM tested in combination with ABGS in rabbit and sheep PLF models<sup>132,135</sup>. Following the successful outcome of these preclinical studies, ABGS formulation with allograft particles as a CRM is being currently tested in PLF clinical study in patients with degenerative disc disease. However, allograft possesses several disadvantages including viral transmission risk, immunogenicity and regulatory issues<sup>154</sup>. Therefore, to overcome these shortcomings we evaluated calcium phosphate synthetic ceramics as a CRM in rat subcutaneous implant assay and rabbit PLF model. Calcium phosphate ceramics might be composed of tricalcium phosphate (TCP) or hydroxyapatite (HA) while the main difference between them is their resorption characteristics (TCP is significantly more resorbable than HA). TCP and HA might be combined in biphasic calcium phosphate with different TCP/HA ratios to obtain the desired CRM resorption characteristics. Synthetic ceramics might be formulated into various size particles or blocks. We have demonstrated that addition of synthetic ceramics to ABGS significantly increased the amount of newly formed bone and decreased the outcome variability<sup>137,153</sup>. Moreover, we have demonstrated that size and shape of ceramic particles determines the quantity and microarchitecture of the newly formed bone<sup>137</sup>. ABGS with synthetic ceramic particles was successfully used in a rabbit PLF model<sup>136</sup>. Tested ABGS formulations induced formation of new bone and osteointegration with native transverse processes.

**CLINICAL STUDIES USING ABGS**

In clinical trials we tested whether the low quantities of BMP6 in an autologous carrier support trabecular bone healing of the compartment in which BMP2 and BMP7 were ineffective<sup>14,15</sup>. ABGS has so far been tested in Phase I study in patients with distal radial fractures<sup>14</sup> and Phase I/II study in patients undergoing high tibial osteotomy<sup>15</sup>.

*Distal radial fracture healing study*

The novel ABGS composed of rhBMP6 within an ABC as a homologous carrier was tested for safety and efficacy in patients having a distal radial fracture (DRF). Thirty two patients were enrolled in a randomized, double-blinded Phase I First in Human (FiH) clinical trial with standard of care (SoC) and placebo (PBO) controlled therapeutic arms. ABGS was made from peripheral ABC with 250 µg rhBMP6/mL or PBO (1 mL ABC with excipients only) and was applied dorsally via injection into the fracture area following fixation with 3 Kirschner wires. The patient's arm was immobilized for 5 weeks and the patient was followed for 26 weeks by clinical observations, radiographic im-

aging and CT. No serious adverse reactions were recorded at any observation time point. No detectable anti-rhBMP6 antibodies in the plasma of any of the 32 patients at 13- and 26-weeks following treatment were detected. Patients treated with rhBMP6/ABC showed enhanced bone healing in comparison to PBO and SoC at 5 and 9 weeks. Finally, we showed that intraosseous use of ABGS in the distal radial fracture site accelerated the cancellous bone repair without any serious adverse events<sup>14</sup>.

*High tibial osteotomy clinical study*

BMP6 dispersed in ABC was administered into a surgically created wedge defect of the proximal tibia to patients undergoing high tibial osteotomy (HTO) for varus deformity and medial compartment osteoarthritis of the knee. Twenty HTO patients received rhBMP6/ABC or placebo in a randomized, PBO-controlled, double-blinded Phase I/II clinical study. Patients were then followed for 24 months by clinical observation, CT and radiographic imaging. It was demonstrated that there were no anti-rhBMP6 antibodies in the plasma of any of the 20 patients at 14 weeks after implantation. No serious adverse events were recorded during the 24 months of follow-up. Patients treated with rhBMP6/ABC showed an enhanced bone healing at 9 weeks and at 14 weeks follow-up. At weeks 6 and 24 and months 12 and 24 increased bone formation and advanced bone remodeling in rhBMP6/ABC-treated patients were observed on radiographic images. This is the first demonstration of a BMP-based osteogenic implant tested against a placebo for bone repair in the cancellous bone, using a novel and objective bone mineral density measurement system<sup>15</sup>.

*Posterolateral spine fusion study in patients with degenerative disc disease*

The ongoing study is evaluating the application of ABGS in patients with chronic back pain due to degenerative disc disease. In the OSTEOproSPINE study patients are treated with a novel bone regeneration therapy composed of rhBMP6 delivered in ABC reinforced with allograft (a compression resistant matrix). It is aimed to guide bone formation at an extraskelatal site and replace autograft harvested from patient's iliac crest for lumbar vertebrae fusion. This Phase II, randomized, double-blinded clinical trial included three clinical centers to enroll 134 patients. A positive outcome of the study would confirm the potential of ABGS as a substitute for iliac crest graft to form a functional new bone in humans and restore the spine function to improve the quality of life in patients with a degenerative disc disease<sup>13</sup>.

**CONCLUSION**

BMPs are growth and differentiation factors belonging to the TGFβ superfamily of proteins. They are synthesized, folded as dimeric proteins in the cell cytoplasm, further cleaved by proteases during secretion. Their activity is elicited after binding to

heteromeric type I and II serine/threonine kinase receptors that activates the signal transduction via Smad 1, 5 and 8, forming a complex with Smad 4 to enter the nucleus. BMP molecules induce ectopic and orthotopic endochondral bone formation and have multiple roles in regulation of cell proliferation, differentiation, apoptosis and mesenchymal-epithelial interactions during critical morphogenetic processes in tissues other than bone.

Bone formation induced by the novel ABGS serves as a prototype for tissue engineering, with the ABC acting as a substrate with dispersed BMP6 to initiate the differentiation of mesenchymal cells into endochondral bone. The ABC met the conditions for an optimal delivery system for BMP6 with handling simplicity, retaining the BMP device at the site of implantation without an immunogenic and inflammatory response. BMP6 reversibly binds to the BMP antagonist Noggin, which ensures the efficacy of a low dose of BMP contained in the ABC. Further optimization of the ABGS focused on providing a product resistant to compression to maintain a uniform size and shape of the ABGS implants in patients treated for various bone disorders. Addition of allograft or synthetic ceramics to ABGS demonstrated in animal PLF models significantly increased volume and better micro-architecture of the newly formed bone. The first demonstration of an ABGS effect on the repair of cancellous bone was tested in patients with DRF (Phase I study) and in patients undergoing HTO (Phase I/II study) without any serious adverse events.

Intraosseous use of ABGS in the cancellous bone repair resulted in an enhanced and accelerated bone healing. In the ongoing OSTEOproSPINE study ABGS enforced with allograft bone is evaluated in patients with chronic back pain due to the degenerative disc disease. The novel ABGS autologous bone mimetic represents a major breakthrough and contribution to regenerative medicine and bone biology.

#### CONFLICT OF INTEREST

L.G., H.O. and S.V. have an issued patent US8197840 licensed to Genera Research. T.K.S. received grants from perForm Biologics during the study. H.O. is Genera Research employee and CEO. Other authors do not declare conflict of interest.

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